

# Transfer Between Existing Fidelity Accounts

Use this form to transfer assets between Fidelity accounts. Do NOT use this form to change the registration of an existing account. Instead, go to [Fidelity.com/forms](https://www.fidelity.com/forms) for the appropriate form. Type on screen or fill in using CAPITAL letters and black ink. If you need more room for information or signatures, make a copy of the relevant page.

## Helpful to Know

- This form isn't right for all transfers! Call Fidelity if you are:
  - transferring from another firm or workplace plan
  - transferring from a retirement account to a nonretirement account (reportable distribution)
  - converting to a Roth IRA
  - initiating a direct rollover between a retirement plan and an IRA
  - transferring between retirement accounts and there is ANY difference in how the names appear on the accounts
  - transferring from a fixed income separately managed account (SMA) to another managed account product. Note: This would include Breckinridge Intermediate Municipal Strategy, Fidelity Core Bond Strategy, or Fidelity Intermediate Municipal Strategy.
- Any transfer from a nonretirement account to an IRA will be considered a contribution and is subject to annual IRA contribution limits, unless specifically designated as a 60-Day Rollover in Section 4.
- For brokerage accounts, please note that:
  - Any cash transfers OUT of a brokerage account will be taken from the core position. If the amount you indicate is greater than your core position balance, we will be unable to process your request. You can direct the sale of securities online or through a Fidelity representative, allowing at least two (2) business days for trade settlement before submitting this form.
  - Any cash transfers INTO a brokerage account will be deposited into the core position, unless you choose the "Transfer the following securities in kind" option in Section 3.
- For Mutual Fund Only accounts (account begins with a 2, followed by two letters), please note that:
  - If this form directs Fidelity to sell mutual fund shares in the transferring Mutual Fund Only account and/or to purchase mutual fund shares in the receiving Mutual Fund Only account (i.e., you are not choosing the "Transfer the following securities in kind" option in Section 3), be aware that the timing of the transaction depends on when we receive this form in good order, which is outside of your control. To better control the timing of the transaction, you should instead place your trades online or through a Fidelity representative.
  - Sales or purchases of a mutual fund could trigger redemption or transaction fees (see the applicable fund prospectus).
  - If a fund is closed to new investors, you will not be able to purchase new shares of the fund in the future if you draw your fund balance down to zero.
  - Any fees charged or expenses incurred in connection with your instructions will be assessed at the "rep-assisted" rates. Fees and expenses may be lower if you instead place your trades online. Please refer to the applicable fund prospectus for more information.
- Once we receive this form in good order, you cannot cancel your request.

## 1. Transfer OUT OF This Fidelity Account

Provide the name(s) on transferring account.

This phone number ► may be used if we have questions, but will not be used to update your account information.

Account Number	Fidelity Fund Name or Symbol <i>Mutual fund accounts ONLY (Account begins with a 2, followed by two letters.)</i>
Owner Name(s), Company, or Trust Name	
Primary Phone	

## 2. Transfer INTO This Fidelity Account

Provide the name(s) on receiving account.

Account Number	Fidelity Fund Name or Symbol To Purchase <i>Mutual fund accounts ONLY (Account begins with a 2, followed by two letters.)</i>
Owner Name(s), Company, or Trust Name	

Form continues on next page. ►►

### 3. Transfer Amount

Check **ONLY** one and provide any additional required information.

- FULL transfer of the entire account. Your account will be closed, unless you tell us otherwise by checking the box below. If transferring from a brokerage account into a Mutual Fund Only account (account begins with a 2, followed by two letters), only Fidelity mutual funds are eligible to be transferred in kind. All other securities will remain in the original account. ▶ Skip to Section 4.
  - Do NOT close the account.
- ONLY the following amount of cash from your brokerage account. If the amount you indicate is greater than your core position balance, we will be unable to process your request. You can direct the sale of securities online or through a Fidelity representative, allowing at least two (2) business days for trade settlement before submitting this form.
  - Entire core balance.
  - Specified amount:

Dollar Amount
\$

- Transfer the following securities in kind. If transferring from a brokerage account into a Mutual Fund Only account (account begins with a 2, followed by two letters), only Fidelity mutual funds are eligible to be transferred in kind. All other securities will remain in the original account.

Indicate the number of shares or check "All."

Enter specific share identification, if applicable. You must provide the lot acquisition date for specific share instruction on or before the date of the transfer (gift/journal) taking place. For multiple lots, list each lot on a separate line.

To list more securities, make a copy of this page or attach a separate sheet, including the same information. The attachment must be signed and dated.

Investment Name	CUSIP or Symbol	Number of Shares/ALL	<input type="checkbox"/> ALL
Lot Acquisition Date MM DD YYYY	Lot Acquisition Cost		
	\$		

Lot Acquisition Date MM DD YYYY	Lot Acquisition Cost
	\$

Investment Name	CUSIP or Symbol	Number of Shares/ALL	<input type="checkbox"/> ALL
Lot Acquisition Date MM DD YYYY	Lot Acquisition Cost		
	\$		

Lot Acquisition Date MM DD YYYY	Lot Acquisition Cost
	\$

Investment Name	CUSIP or Symbol	Number of Shares/ALL	<input type="checkbox"/> ALL
Lot Acquisition Date MM DD YYYY	Lot Acquisition Cost		
	\$		

Lot Acquisition Date MM DD YYYY	Lot Acquisition Cost
	\$

### 4. Reason for Transfer

Check **ONLY** one and provide any additional required information.

- Transfer from a nonretirement account to an IRA. You must indicate below if this is an annual IRA contribution or a 60-Day Rollover.
  - Traditional, Roth, or SEP IRA annual contribution. All contributions must be made in cash.

Contribution Year YYYY

Traditional or Roth IRA contributions will be coded as current year, unless specified otherwise. Prior year contributions received after the tax-filing deadline will be coded as current year.

- 60-Day Rollover.
- Transfer from a nonretirement account to a nonretirement account. Transfers between accounts with different tax identification numbers will be coded as a gift, unless you select Non-Gift below and provide a brief explanation below.

"Gift" is the default if nothing is selected.

- Gift
- Non-Gift

Reason for Non-Gift Transfer

- Transfer from an IRA to an IRA that are like registrations to consolidate accounts (e.g., Traditional IRA to Traditional IRA). Your name and SSN must be identical on both accounts. Note: This does not include conversions to Roth IRAs or rollovers between retirement plans and IRAs.

Form continues on next page. ▶▶



## 5. Signature(s) and Date(s) *Account owner(s) of the transferring account must sign and date.*

In the section below, "Fidelity," "us," and "we" refer to Fidelity Brokerage Services LLC and National Financial Services and their affiliates, and their employees, agents, representatives, shareholders, successors, and assigns as the context may require; "you" and "account owner" refer to the owner indicated on the account form; for any account with more than one owner (such as a joint or trust account), "you" and "account owner" or "account owners" refer to all owners, collectively and individually.

By signing below, you:

- Direct Fidelity to act on all instructions given on this form and agree to indemnify us (jointly and severally, for accounts with more than one owner) from and hold us harmless for any and all losses, liabilities, claims, and costs (including reasonable attorneys' fees) resulting from our effecting any transaction or acting upon such instructions.
- Indemnify Fidelity from any and all liability in the event you fail to meet any IRA requirements concerning your IRA(s) referred to in this form, as applicable.

**One authorized signature is required unless you have a Mutual Fund Only Account (the account number begins with a 2 followed by two letters), in which case all owners of the transferring account must sign.**

**A Medallion signature guarantee is required if:**

- the receiving account has a different owner (owner names on the two accounts do not match—for example, an individual to a different individual)
- AND
- the value of the transfer is greater than \$17,000.

*If the form is completed at a Fidelity Investor Center with all signers present, the Medallion signature guarantee is not required. You can get a Medallion signature guarantee from most banks, credit unions, and other financial institutions. **A notary seal/stamp is NOT a signature guarantee.***

PRINT OWNER NAME	
SIGN	OWNER SIGNATURE
	X
DATE	TODAY'S DATE MM/DD/YYYY
	X

▼ MEDALLION SIGNATURE GUARANTEE ▼

PRINT OWNER NAME	
SIGN	OWNER SIGNATURE
	X
DATE	TODAY'S DATE MM/DD/YYYY
	X

▼ MEDALLION SIGNATURE GUARANTEE ▼

**Did you print and sign the form?** Send the ENTIRE form to Fidelity Investments.

**Questions?** Go to [Fidelity.com/TransferMoneyShares](https://www.fidelity.com/TransferMoneyShares) or call 800-343-3548.

**Regular mail**  
Fidelity Investments  
Attention: Distribution Services  
PO Box 770001  
Cincinnati, OH 45277-0035

**Overnight mail**  
Fidelity Investments  
Attention: Distribution Services  
100 Crosby Parkway  
Mailzone: KC1D  
Covington, KY 41015-4325

*On this form, "Fidelity" means Fidelity Brokerage Services LLC and its affiliates. Brokerage services are provided by Fidelity Brokerage Services LLC, Member NYSE, SIPC. 432196.7.0 (02/23)*

